

Annex 10: Summary of Corporate Risk Assurance Framework

Risk Reference	Description of risk identified	Score (last reported)			Current Score			Overall Trend	Last Review By Directorate/ Programme Team
		Safe	Sustainable	Effective	Safe	Sustainable	Effective		
Lung Resection CH/011	Risk to patient o/comes and quality of service due to insufficient capacity in South Wales service	10	16	20	10	16	20	→	12/2017
Lung cancer CH/020	Excessive lung cancer surgery waiting times	15	16	20	15	12	12	↑	12/2017
Bariatric Surgery CH/021	Long waiting times for high risk bariatric surgery	12	12	20	12	12	20	→	12/2017
PET CT CH/024	Lack of access to PET for certain indications leads to poor patient outcomes, i.e., having unnecessary treatments or most appropriate treatment	16	1	20	16	1	20	→	12/2017

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Plerixafor CH/027	Scheme does not add a further line of treatment but replaces alternative options. Use avoids risk of increased cost of further hospital bed days and additional treatment costs.	16	1	20	16	1	20	→	12/2017
Sarcoma CH/029	Sustainability of South Wales soft tissue sarcoma service				9	20	12	→	12/2017
Cardiac Ablation CT/013	Limited access to ablation in South Wales	16	12	20	16	12	20	→	12/2017
Interventional Cardiology CT/014	Risk to patients in suffering cardiac arrest whilst waiting for intervention in tertiary centre	12	9	20	12	9	20	→	12/2017
Cardiac Surgery RTT CT/023	Unable to commission sufficient capacity for cardiac surgery to be delivered within waiting time standards	10	9	20	10	9	20	→	12/2017
Cardiac surgery TAVI CT/029	Increased waiting times for patients due to under reporting of the waiting lists and issues relating to pathway start dates	10	4	20	10	4	20	→	12/2017

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CAMHS MH/101	Access to specialist beds	16	16	16	16	12	12	↑	12/2017
High & Medium Secure Services MH/107	Increased lengths of stay. Inappropriate placement in higher level of security	12	12	16	12	12	16	→	12/2017
Spinal Rehabilitation NC/001	Sustainability of service	16	16	16	16	16	16	→	12/2017
Neuro rehabilitation NC/010	Patients not receiving full service due to low staffing levels against standards	15	16	16	15	16	16	→	12/2017
Neurosurgery NC/012	Patients waiting in excess of agreed waiting times	10	15	20	10	15	20	→	12/2017
Interventional Radiology NC/014	Current staffing levels resulting in unsustainable service	20	20	20	20	20	20	→	12/2017
Neuro-vascular surgery NC/017	Unsustainable Neurovascular Service	20	16	16	20	16	16	→	12/2017
Clinical Immunology - PIP NC/020	Staffing shortfalls result in service unable to provide clinically appropriate care	16	20	20	20	20	20	↓	12/2017

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Neuro-oncology NC/023	Serious concerns with the tertiary services provided to patients in Mid and South Wales	9	16	20	9	16	20	→	12/2017
Neuro-modulation NC/025	Failure to commission service in South and Mid Wales in line with NICE guidance	6	20	20	6	20	20	→	12/2017
Prosthetics NC/026	Inability to achieve waiting time targets and provide equitable service to civilian population in BCUHB	12	20	20	12	20	12	↑	12/2017
Alternative Augmentative Communication NC/028	Lack of funding for AAC equipment across Wales	16	25	20	20	20	20	↓	12/2017
Paediatric surgery WC/006	Excessive waiting times for treatment	16	9	20	16	9	20	→	12/2017
IVF WC/009	Inability to achieve waiting time targets	6	1	20	6	1	16	↑	12/2017
PICU WC/014	Lack of capacity for tertiary services in South Wales	15	9	16	20	9	16	↓	12/2017
Cleft Lip & Palate WC/015	Under-resourced MDT	8	16	16	8	16	16	→	12/2017

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Cystic Fibrosis WC/016	Growing patient cohort and limited and inappropriate inpatient capacity to accommodate them	8	20	16	8	20	16	→	12/2017
Paediatric Rheumatology WC/022	Limited service in South Wales high risk of unsustainability	9	20	16	9	20	16	→	12/2017
Genetics WC/024	Inability to test Lynch Syndrome patients who are at greater risk of colorectal cancer	12	1	15	12	1	15	→	12/2017
Paediatric Congenital Heart Disease WC/028	Lack of access to clinics – falling below NHS England Standards	9	9	16	9	9	16	→	12/2017
Cleft Lip Palate RTT WC/029	Capacity shortfalls leading to excessively long waiting times for adult revisional surgery	6	10	20	6	10	20	→	12/2017